



2020 Speaker Series

Wednesday, September 2, 2020

10:00-11:00 AM EDT

**X-Ray Sterilization Requirements
for Single-Use Equipment. It's
Coming Sooner Than You Think!**

Featured Speakers

Tom Kroc,
Fermilab

John Logar,
Johnson & Johnson

Created in Cooperation With...



socma

SOLUTIONS FOR SPECIALTIES



Bio-Process Systems Alliance
Advancing Single-Use Worldwide



Flexible Vinyl Alliance



Ott Consulting Group LLC

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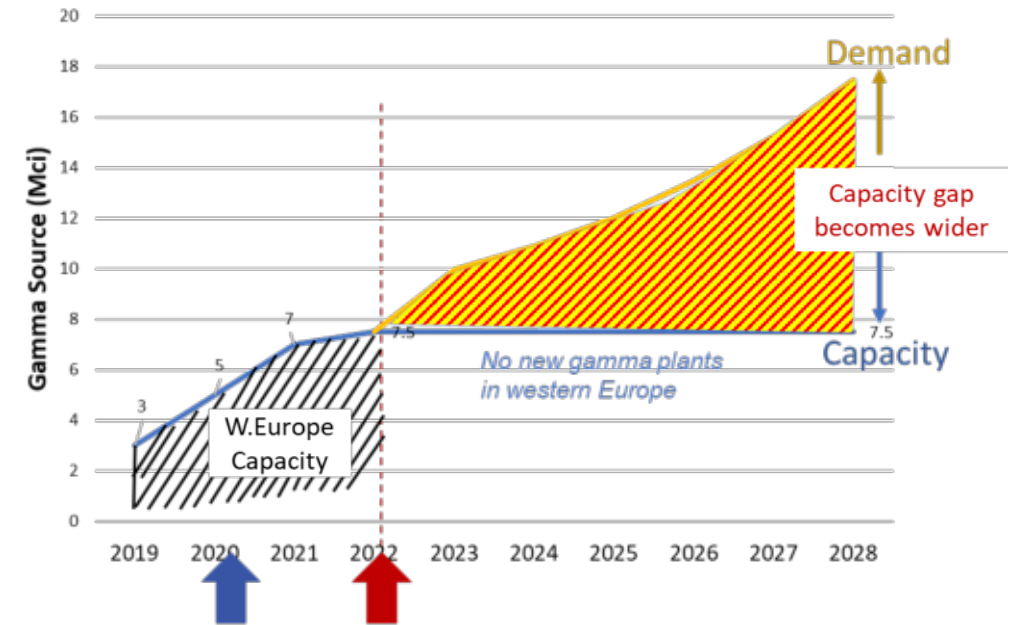


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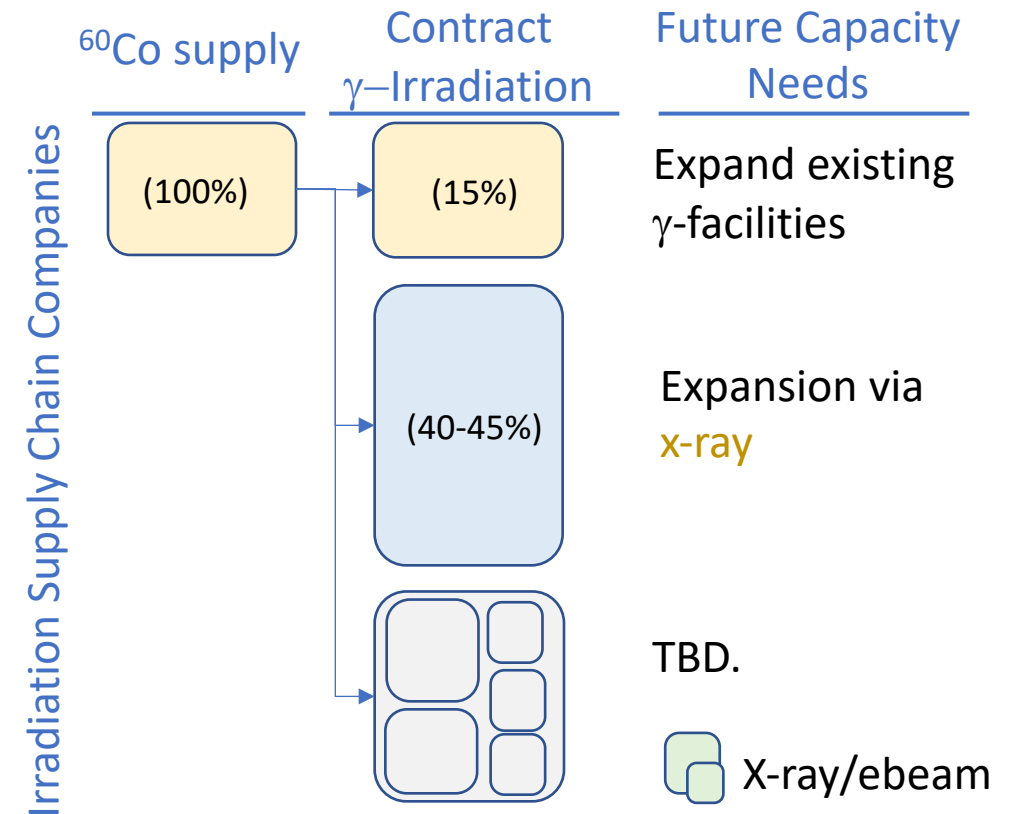
Why X-ray Sterilization of Single-Use

- Growing **demand** for ionizing radiation, from multiple industries, including single-use (10%)
- γ -irradiation **capacity** difficult to increase, with largest irradiator expanding solely via **x-ray**



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- ^{60}Co regulated and costly. 24/7 utilization. Demand planning critical. **Flexibility** difficult



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- BPSA publishing **single-use risk assessment**, **testing rationale** and supporting data

BPSA Quality Matrix & Standard Tests

			COMPONENT CATEGORY					
TEST TYPE	TEST REFERENCES	TEST ARTICLE	Chrome	Connectors & Valves	Containers & Film	Sensors	Tubing	Filters
A. PHYSICAL TESTS								
Integrity (Leak) Test	ASTM E515-11 modified	Final Article	O	O	O	O	O	O
	ASTM D4991-94 Manufacturer defined method							
	ASTM E1640-13	Final Article	-	O	O	O	O	O
	ISTA 2A	Final Article	-	O	-	-	-	-
B. FUNCTIONAL TESTS								
Water Flow Rate and Pressure Drop Test	ISO 7241-2	Final Article	O	O	-	O	O	O
	ISO 3968 Manufacturer defined method							
	IEC60534-2-3 DIN EN 1267	Final Article	-	O	-	-	-	-
Package Testing/ Transportation Shipping Integrity	ASTM D4169-14	Final Article	O	O	O	O	O	O
	ASTM D4728-06 DIN ISO 2872							
	ISTA 2A							
C. BIOLOGICAL TESTS								
Biological Reactivity- In Vitro	ISO 10993-5	Raw Material	-	O	O	O	O	O
	USP <87>							
Biological Reactivity- In Vivo	ISO 10993-1	Raw Material						
	USP <88>							
	USP <88>							
	ISO 10993-10							
	USP <88>							
	ISO 10993-11							
	USP <88> ISO 10993-6							
D. CHEMICAL TESTS								
Chemical Process Compatibility	Manufacturer defined method typically aligned with ASTM D543-14 and/or risk assessment.	Raw Material and/or Final Article						
Extractables	Manufacturer defined method	Final Article						
E. REGULATORY TESTS								
Animal Origin Free	EMA 410/01	Raw Materials	O	O	O	O	O	O
TSE BSE Statement	EMA 410/01 EC 1774	Raw Material	O	O	O	O	O	O
F. STERILIZATION AND SANITIZATION TESTS								
Sterilization Process Compatibility	Manufacturer defined method	Final Article	-	O	O	O	O	O

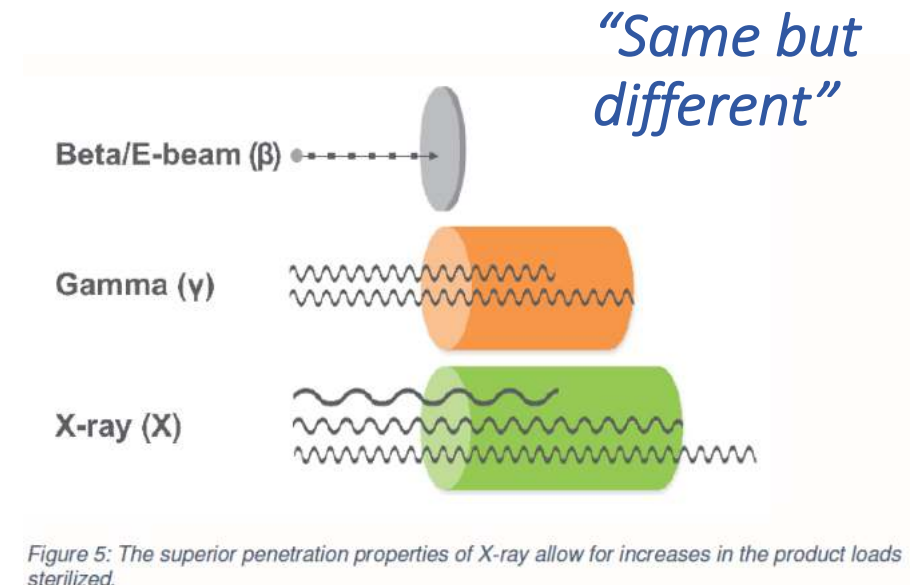
Impact on plas
highest irradiat
Risk mitigat
Testing plan
Rationale if

Impact on plastics at highest irradiation dose

- ☐ Risk mitigation score
- ☐ Testing planned
- ☐ Rationale if no testing

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- **Highly consolidated** contract irradiation market
- ^{60}Co regulated and costly. 24/7 utilization. Demand planning critical. **Flexibility** difficult
- BPSA publishing **single-use risk assessment**, **testing rationale** and supporting data
- Need **education** on the impact of x-ray vs gamma on plastics, and experience from medical device industry.



X-ray Sterilization Requirements for Single-Use Equipment



Introduction to the Physics of Radiation Sterilization: Can X-ray replace Gamma?

Thomas Kroc
Fermilab



X-Ray Radiation: A pathway to transfer from gamma

John Logar
Johnson & Johnson

Panel Discussion



James Hathcock
BPSA X-ray task group
Pall Biotech



Samuel Dorey
BPSA X-ray task group
Sartorius Stedim Biotech

An Introduction to the Physics of Radiation Sterilization: Can X-ray replace Gamma?



Thomas Kroc, PhD

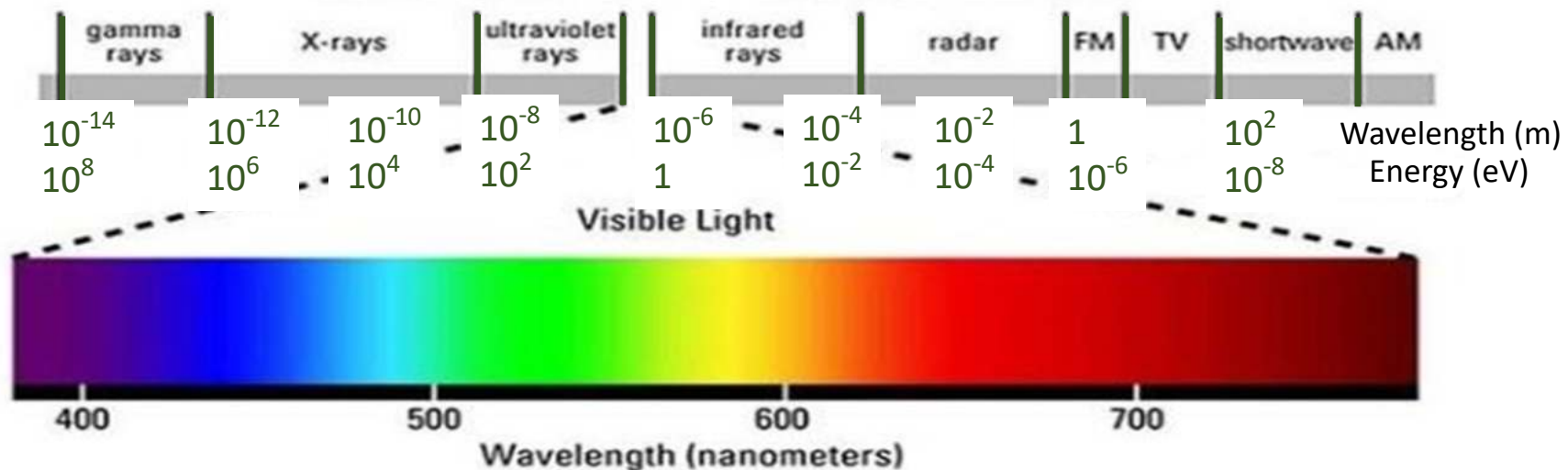
Applications Physicist for Technology Development
Fermilab

What are we talking about?

- Ionizing Radiation
 - Electrons – directly ionizing radiation
 - Photons – indirectly ionizing radiation
 - X-ray and γ refer to how the photon is produced
 - But once produced, they are just photons
- Ionization → Sterility by disrupting the biologic processes of micro-organisms
 - SAL – 10^{-6}

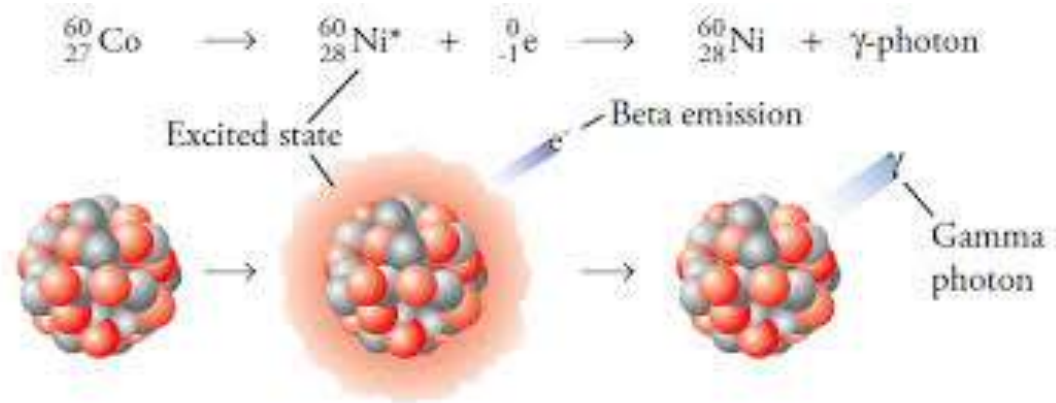
Ionizing Radiation

- Alpha
 - He²⁺
 - Very Shallow
- Beta
 - **Electrons**
 - Penetration depends on energy
 - 10 MeV, 5 cm in H₂O
- Photons
 - Gamma
 - X-ray
 - 10s of cm in H₂O
 - UV light

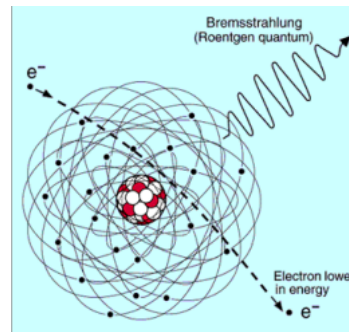


Photons – X-ray vs γ

- γ rays originate from the nucleus of an atom



- X-rays originate from transitions in the electrons from an atom or Bremsstrahlung

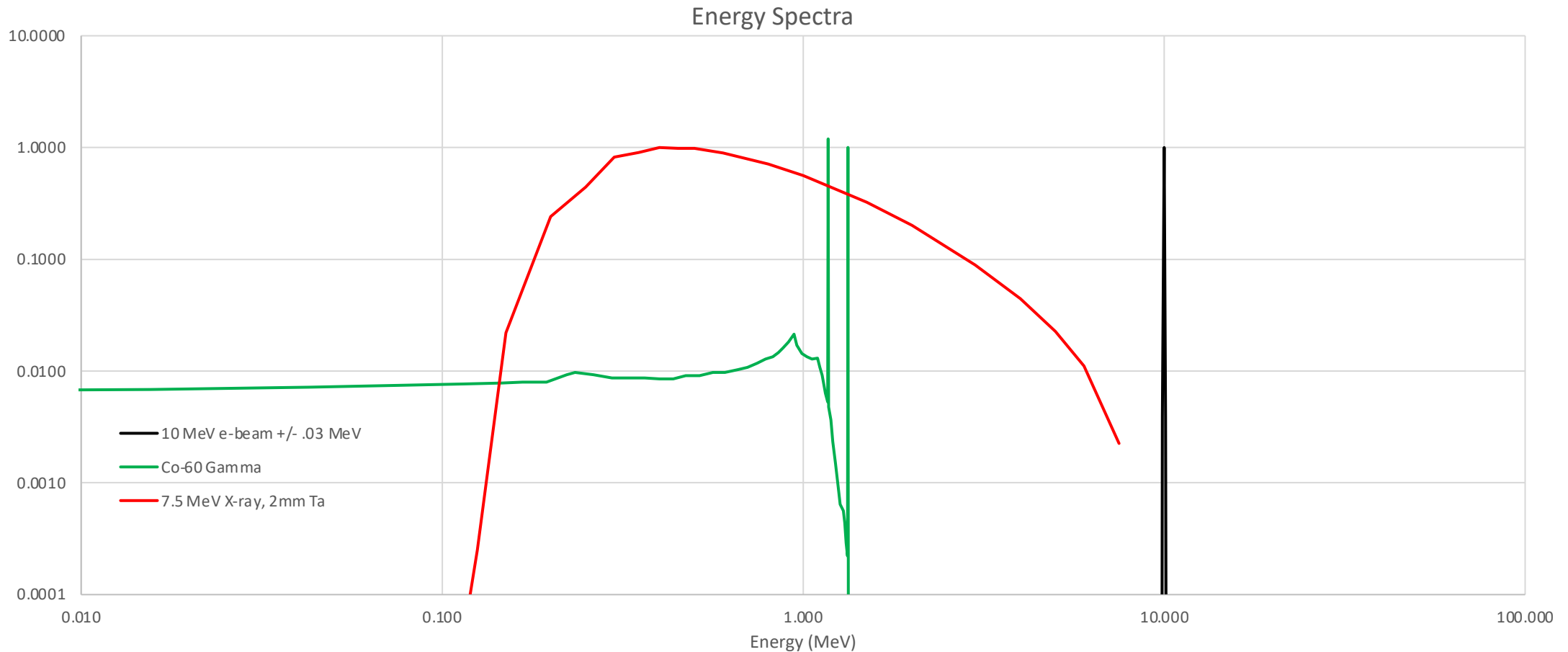


- No difference other than their energy

Photons – X-ray vs γ

- Caveat
 - γ rays are more monoenergetic
 - X-rays (Bremsstrahlung) have a spectra of energies
- Fundamentally, a photon is a photon

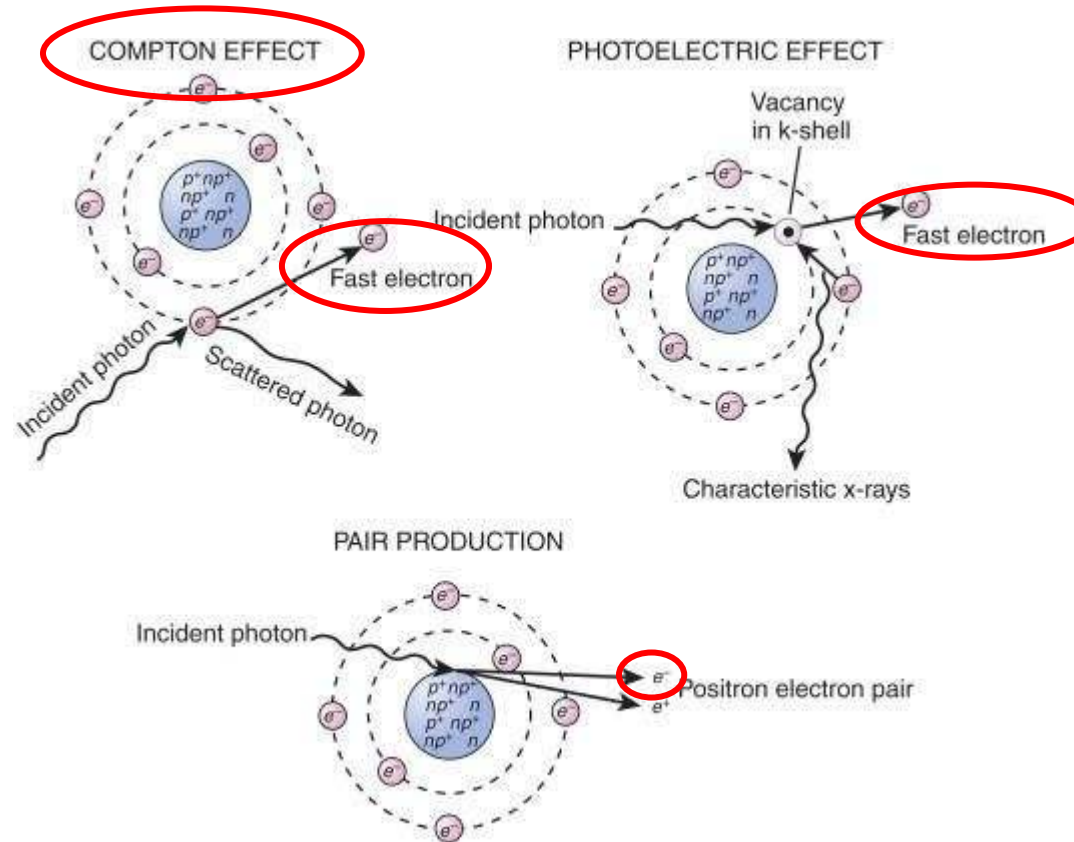
Energy Spectra



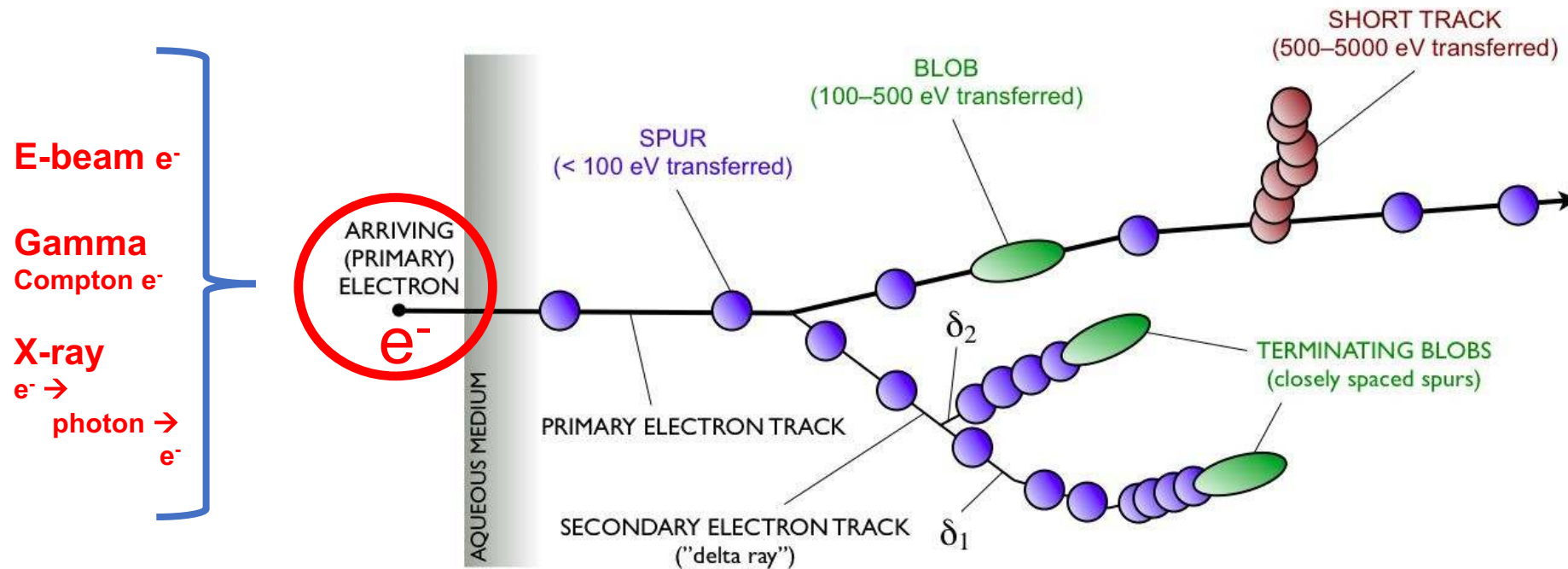
- The broad spectrum of energies for x-rays is a minimal reason for concern that they may not be exactly equivalent to gamma from Co-60.

Photon Interactions with Atoms

Compton electron $\approx 0.5 \text{ MeV}$
 $\approx 500,000 \text{ eV}$



Energy Deposition by Electrons



Electrons! They get the job done!

Atoms, Radiation, and Radiation Protection

Table 13.3 G Values (Number per 100 eV) for Various Species in Water at 0.28 μ s for Electrons at Several Energies

Species	Electron Energy (eV)							
	100	200	500	750	1000	5000	10,000	20,000
OH	1.17	0.72	0.46	0.39	0.39	0.74	1.05	1.10
H ₃ O ⁺	4.97	5.01	4.88	4.97	4.86	5.03	5.19	5.13
e _{aq} ⁻	1.87	1.44	0.82	0.71	0.62	0.89	1.18	1.13
H	2.52	2.12	1.96	1.91	1.96	1.93	1.90	1.99
H ₂	0.74	0.86	0.99	0.95	0.93	0.84	0.81	0.80
H ₂ O ₂	1.84	2.04	2.04	2.00	1.97	1.86	1.81	1.80
Fe ³⁺	17.9	15.5	12.7	12.3	12.6	12.9	13.9	14.1

If it requires ~100 eV to create an ion species, does it matter that the photon is 1.17, 1.33 MeV or 7.5 MeV?

Sidebar – Why do we limit the energy? And why is it different for e-beam and x-ray?

Electrons can't activate. They have to produce photons which would then knock out a proton or a neutron.

Most of what we irradiate doesn't activate below 10 MeV. Either the threshold is too high or the product is stable.

IAEA-TECDOC-1287
Natural and induced
Radioactivity in food

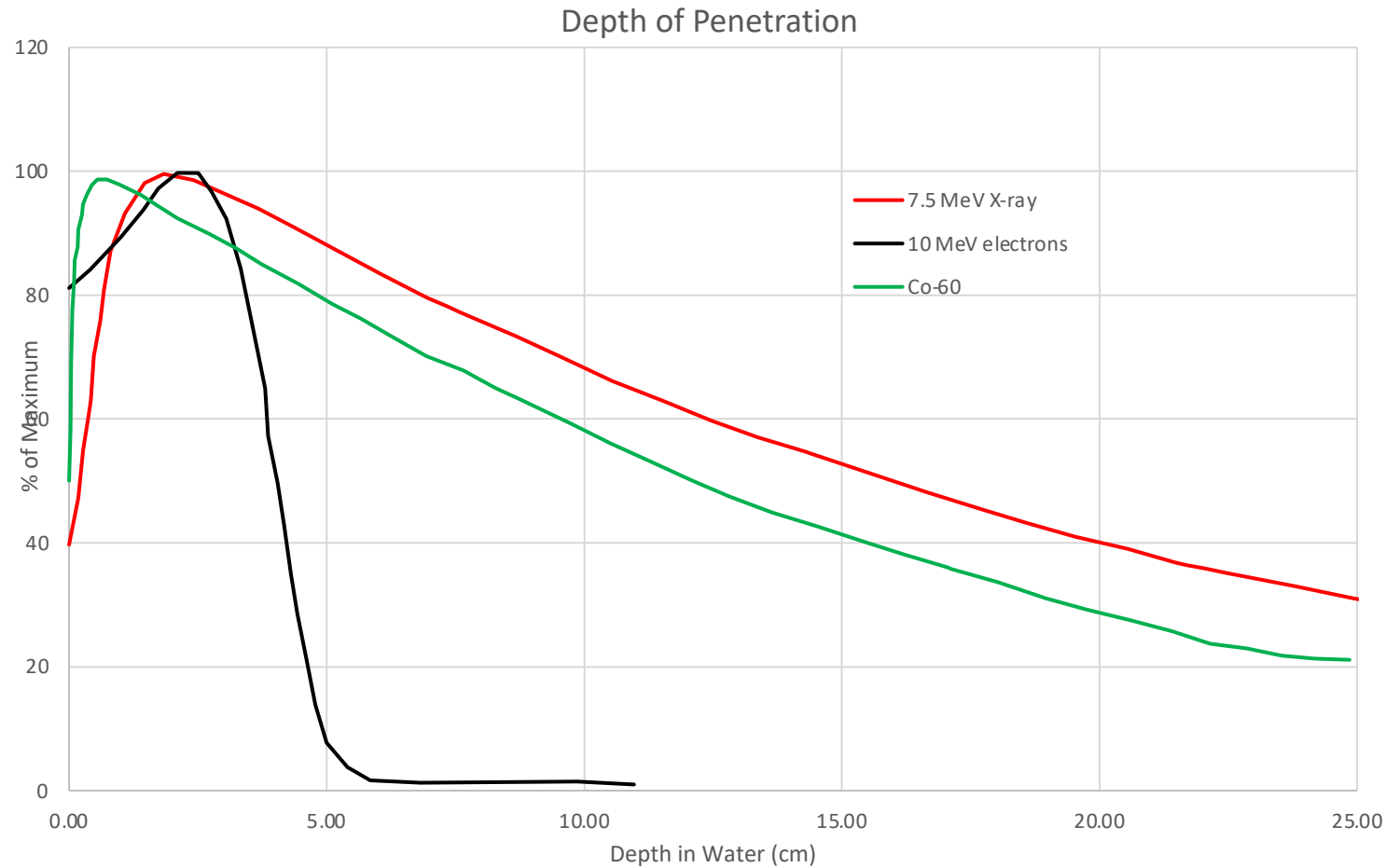
Concern about the product

Concern about the employees

	A	B	C	D	E	F	G	H	I	J	K	L
	concern threshold > 10 MeV	Product Stable?	Concern ?	Target	Product	Threshold		half life (sec)	energy (MeV)	mode	isotopic abundance	Webelement s elemental abundance (ppm)
1												
2				H-1							99.985	1500
3				H-2	H-1	2.225	(γ, n)				0.015	0.15
4				H-2	n	2.225	(γ, p)	660	0.782	beta		
5				He-3	?	7.72	(γ, n)				0.00013	
6				He-3	H-2	5.49	(γ, p)					
7				He-4	He-3	20.58	(γ, n)				99.9999	
8				He-4	H-3	19.81	(γ, p)	3.86E+08	1.86E-02	beta		
9				Li-6	Li-5	5.66	(γ, n)	1.00E-21			7.42	17
10				Li-6	He-5	4.59	(γ, p)	2.00E-21				0.0017
11				Li-7	Li-6	7.25	(γ, n)				92.58	
12				Li-7	He-6	9.97	(γ, p)	0.82				
13				Be-9	Be-8	1.66	(γ, n)	1.00E-14			100	1.9
14				Be-9	Li-8	16.87	(γ, p)	0.85				0.00019
15				B-10	B-9	8.44	(γ, n)	3.00E-19			18.8	8.7
16				B-10	Be-9	6.59	(γ, p)					0.00087
17				B-11	B-10	11.46	(γ, n)				81.2	
18				B-11	Be-10	11.23	(γ, p)	8.52E+13				
19				C-12	C-11	18.72	(γ, n)	1.23E+03			98.89	1800
20				C-12	B-11	15.96	(γ, p)					0.18
21				C-13	C-12	4.95	(γ, n)				1.11	
22				C-13	B-12	17.53	(γ, p)	0.027				
23				N-14	N-13	10.55	(γ, n)	6.06E+02			99.63	20
24				N-14	C-13	7.55	(γ, p)					0.002
25				N-15	N-14	10.83	(γ, n)				0.37	
26				N-15	C-14	10.21	(γ, p)	1.81E+11				
27				O-16	O-15	15.66	(γ, n)	124			99.76	460000
28				O-16	N-15	12.13	(γ, p)					46
29				O-17	O-16	4.14	(γ, n)				0.04	
30				O-17	N-16	13.78	(γ, p)	7.2				
31				O-18	O-17	8.04	(γ, n)				0.2	
32				O-18	N-17	15.94	(γ, p)	4.16				
33				Ta-180	Ta-179	6.645	(γ, n)				0.01	1.7
34				Ta-180	Hf-179	5.75	(γ, p)					0.00017
35				Ta-181	Ta-180	7.577	(γ, n)				99.99	
36				Ta-181	Hf-180	5.94	(γ, p)	Observationally Stable				
37				W-180	W-179	8.412	(γ, n)				0.1	1.1
38				W-180	Ta-179	6.57	(γ, p)					0.00011
39				W-182	W-181	8.063	(γ, n)				26.3	
40				W-182	Ta-181	7.09	(γ, p)					
41				W-183	W-182	6.191	(γ, n)				14.3	
42				W-183	Ta-182	7.22	(γ, p)					
43				W-184	W-183	7.412	(γ, n)				30.7	
44				W-184	Ta-183	7.7	(γ, p)					
45				W-186	W-185	7.195	(γ, n)				28.6	
46				W-186	Ta-185	8.4	(γ, p)					

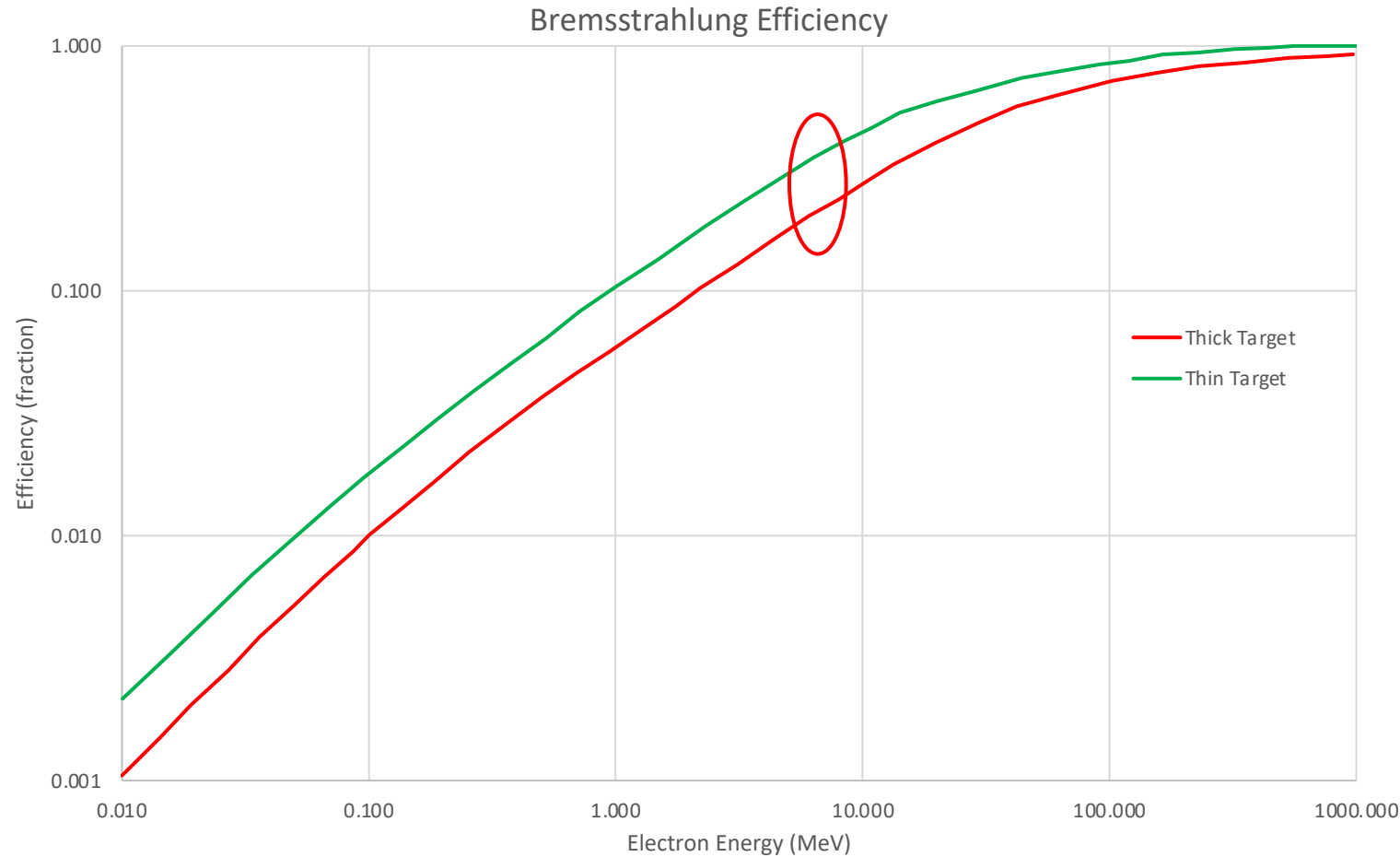
Tantalum has threshold
At 7.577 MeV for γ, n
and is stable for γ, p

Penetration



- The penetration characteristics of x-ray can be exploited to give better DUR.

Generating X-rays

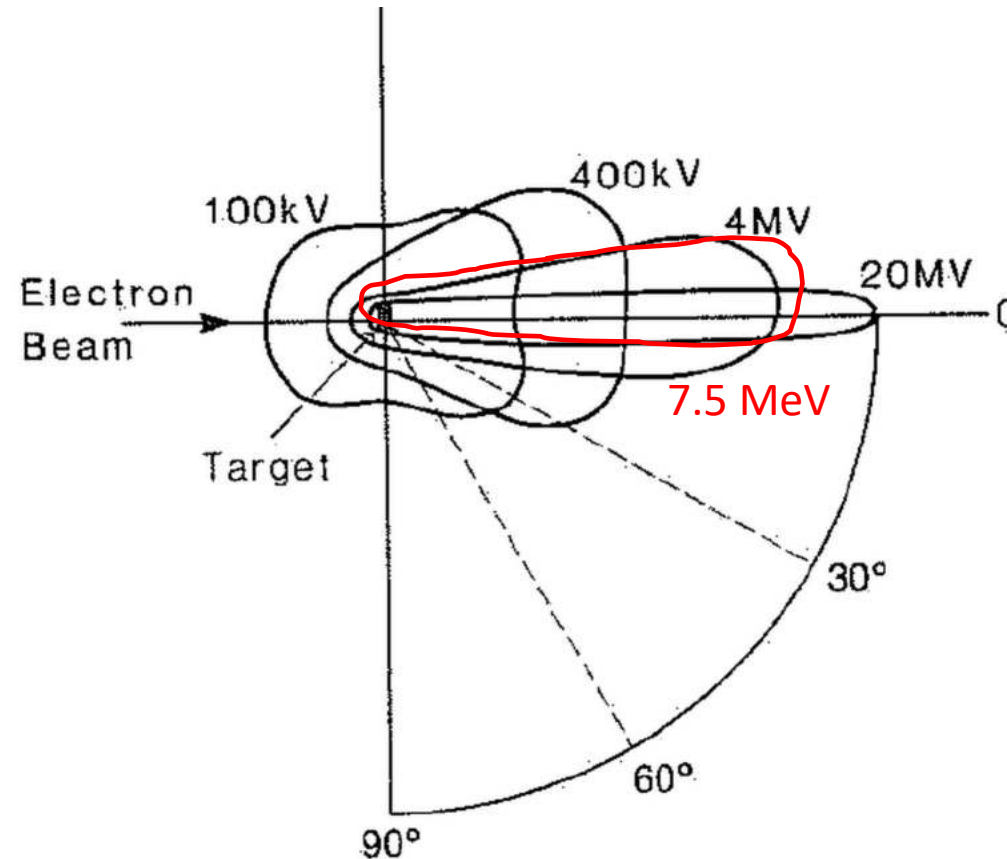


“I cannae
change the laws
of physics.”

– Scotty

- Generating x-rays will always incur a significant inefficiency. Overcoming this requires high-power electron beams.

Generating X-rays



- Much more directed than gammas from a cobalt array. Better utilization.
- (Only ~ 30 % of gamma rays are utilized)

- 1 Mci = 3.7×10^{16} decays/second
 - Total energy released – 2.505 MeV/decay
 - 15 kW
 - Typical irradiation bunker – 30-60 kW of “beam” power
- Electron beam machines can provide this easily
- X-ray must overcome inefficiency of Bremsstrahlung process
 - 200 – 400 kW of electron beam power
 - Then must include efficiency of electron beam production

Capacity Considerations

- Gamma
 - ~10 kGy/hr
 - 3.4 m³/h/MCi @ 25 kGy
- Electron Beam
 - ~20 MGy/hr
- X-ray
 - ~60 kGy/hr
 - 2.8 m³/h/100 kW @ 25 kGy (including target losses)

1 MCi gamma $\approx$ 120 kW X-ray

Follow up

A banner with a dark blue background featuring a network of glowing blue and green nodes connected by lines, resembling a molecular or digital structure. The text is centered and reads:

Medical Device Sterilization:
Continuing the Conversation
September 17, 2020

<https://iarc.fnal.gov/virtualworkshop2020/>

Registration open until September 12



X-Ray Radiation: A pathway to transfer from gamma

John Logar

Sr. Director, Aseptic Processing and Terminal Sterilization
J&J Microbiological Quality and Sterility Assurance

Johnson & Johnson

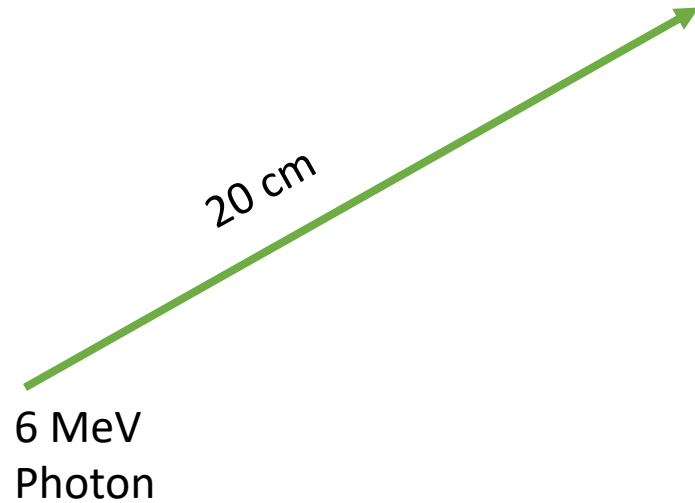
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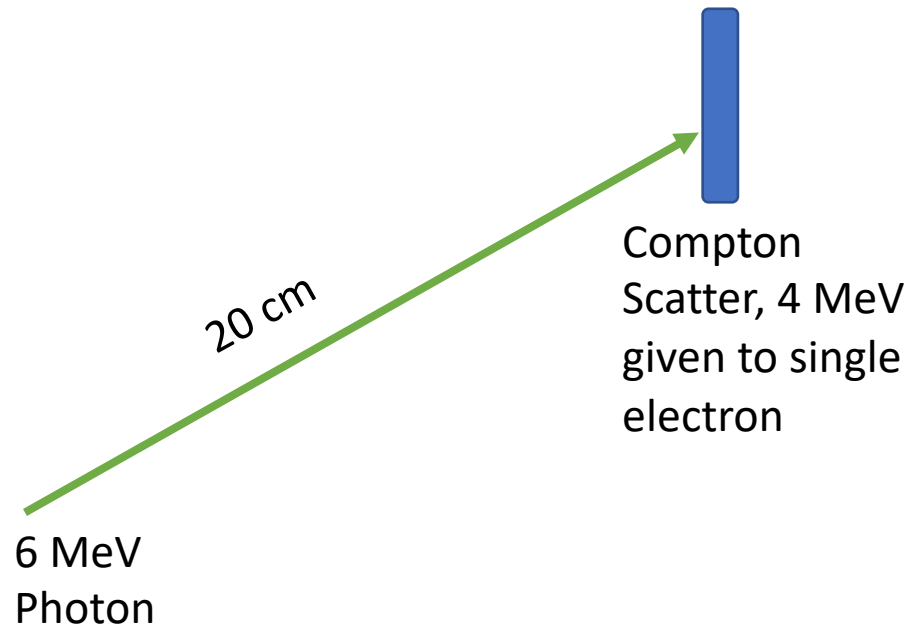
Interactions Through Materials

- Dominant interaction mechanism is Compton scattering for both to impart dose
- At each of these few and far between interactions there is a large energy transfer to an electron as part of ionization
- These secondary electrons lose energy in small increments as part of tens of thousands of ionization events in small regions
- Initial photon energy determine the energy and number of secondary electron per photon
- Fewer high-energy photons needed for given required dose

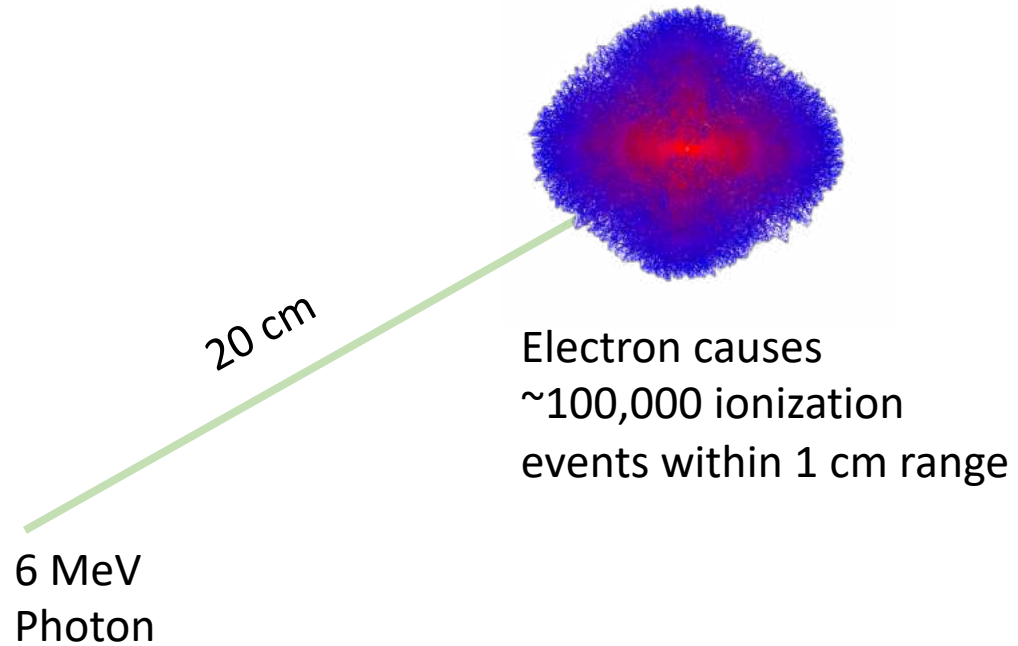
Example Pathway



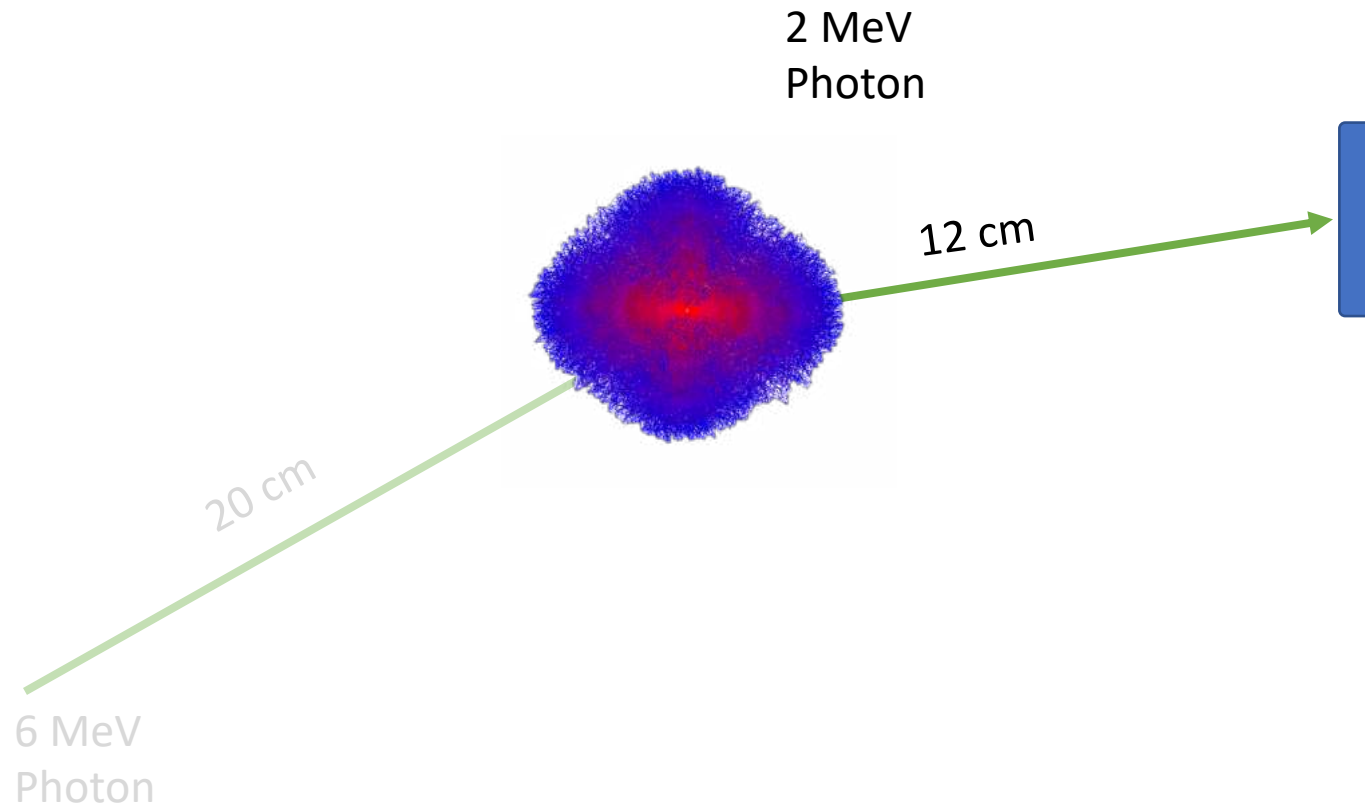
Example Pathway



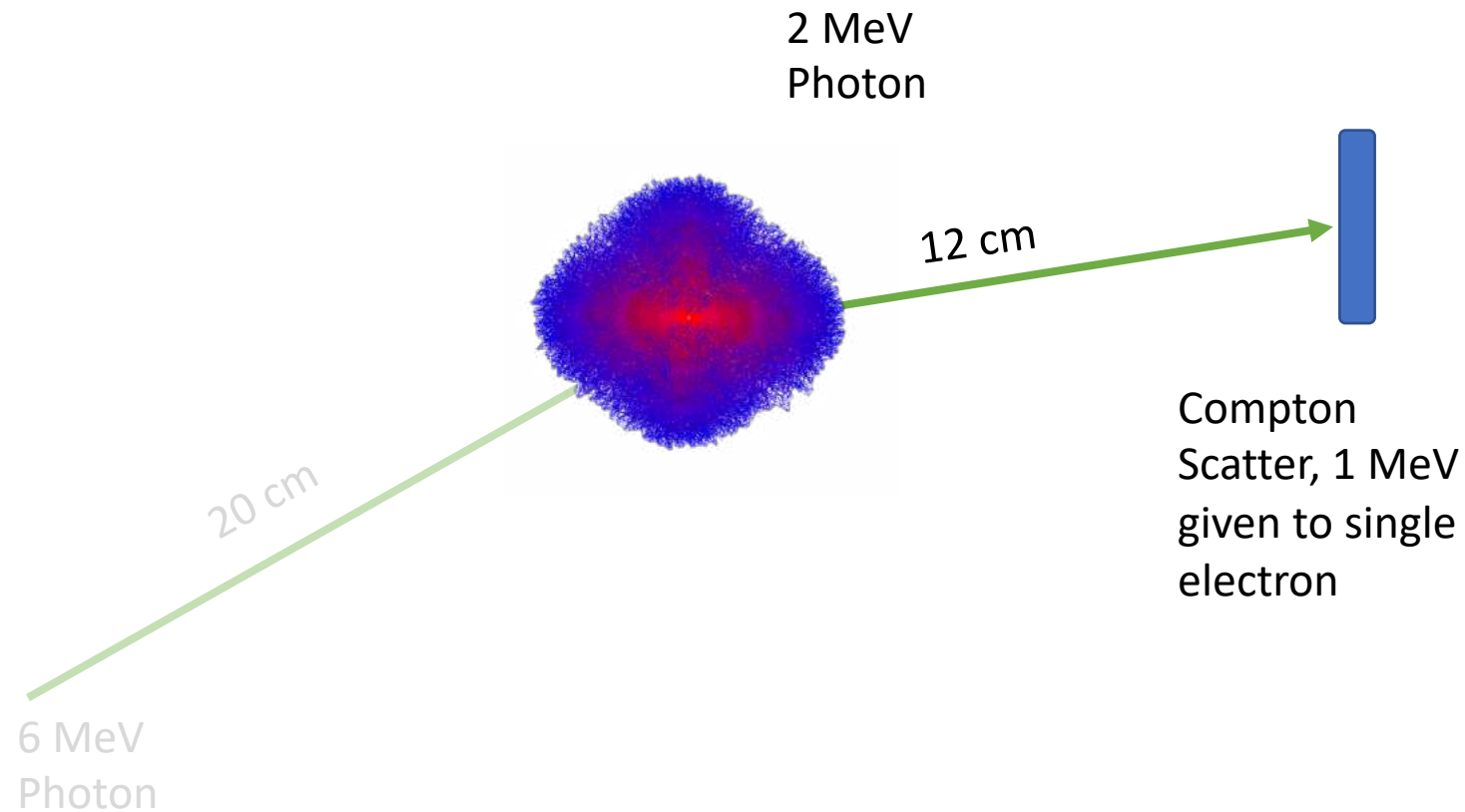
Example Pathway



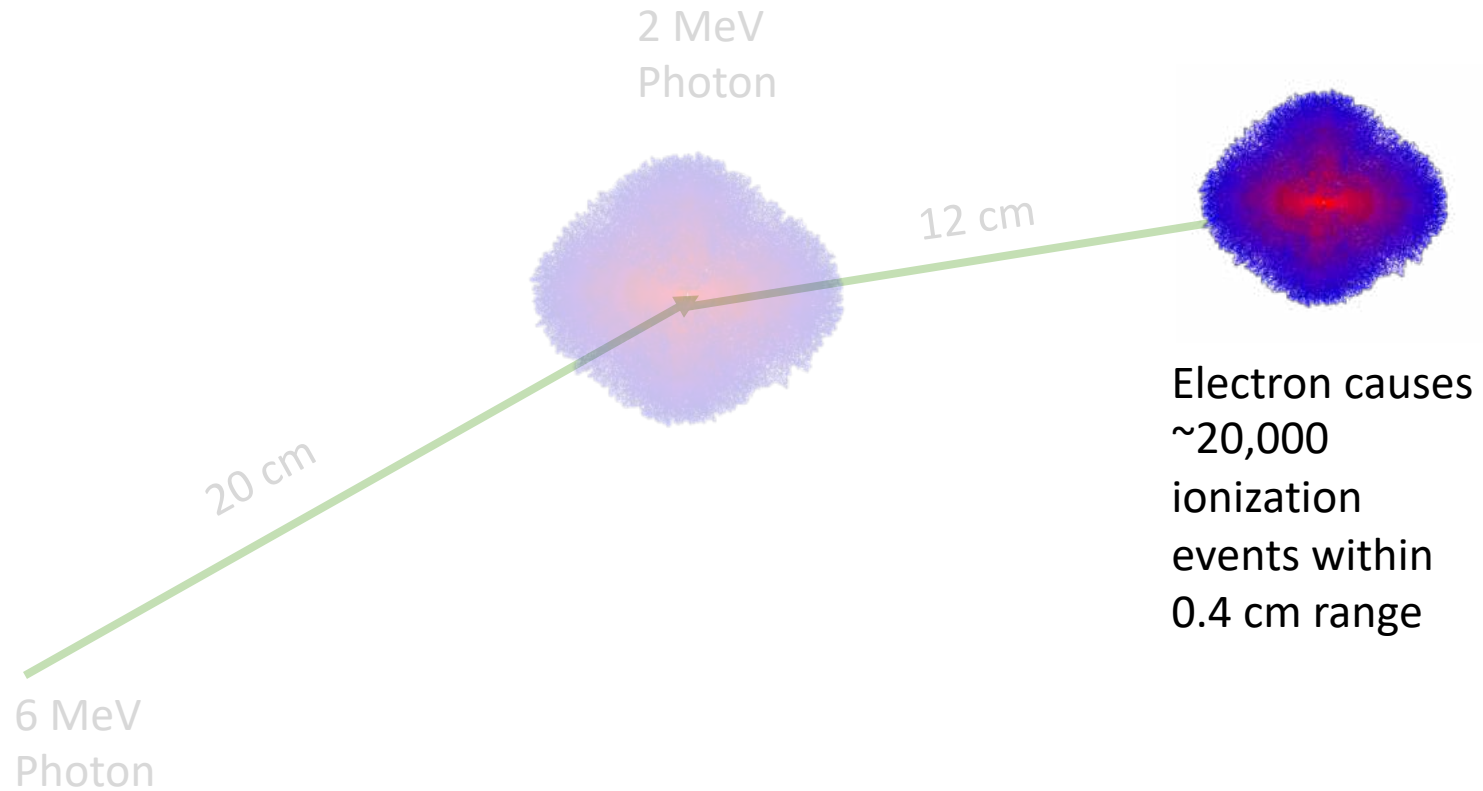
Example Pathway



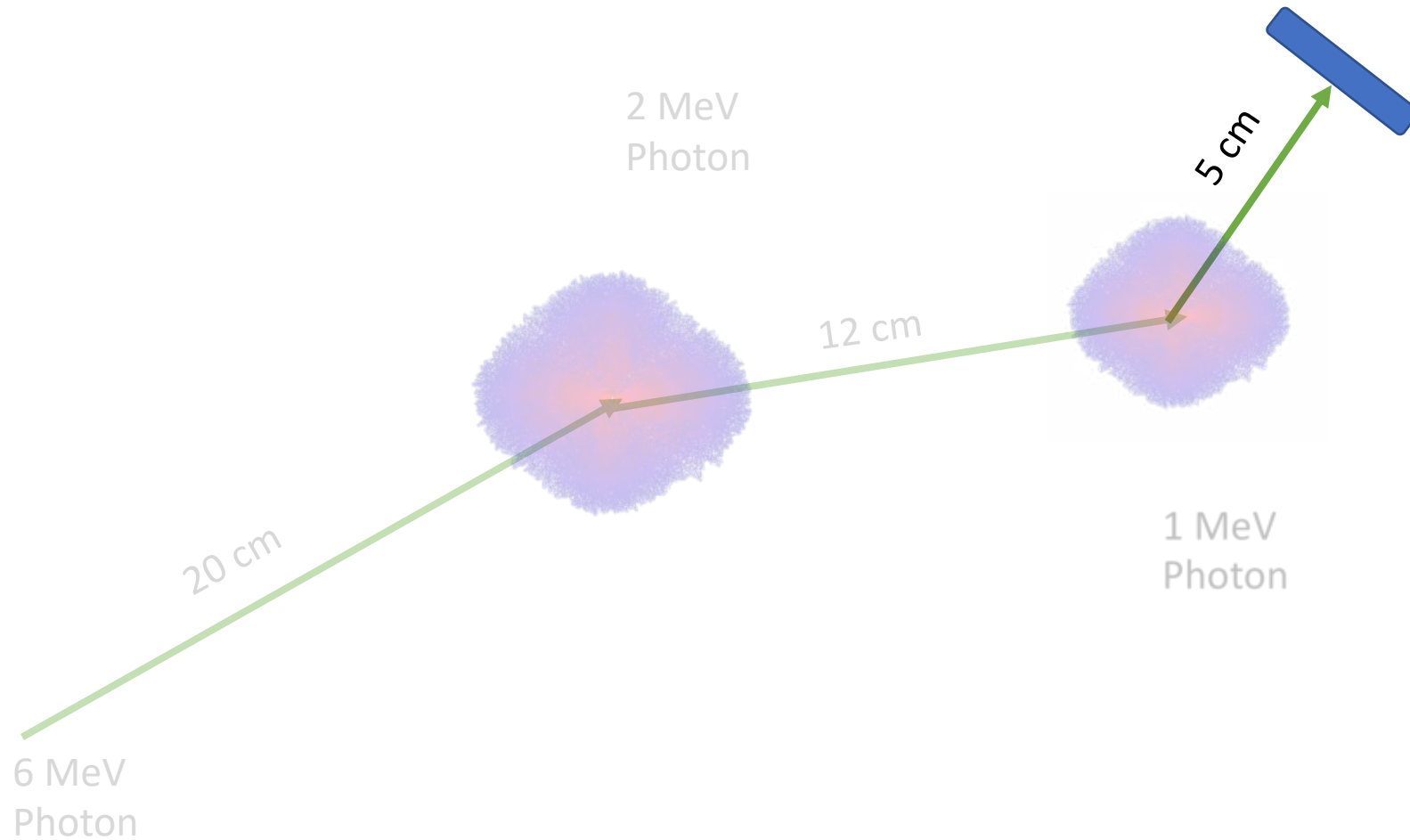
Example Pathway



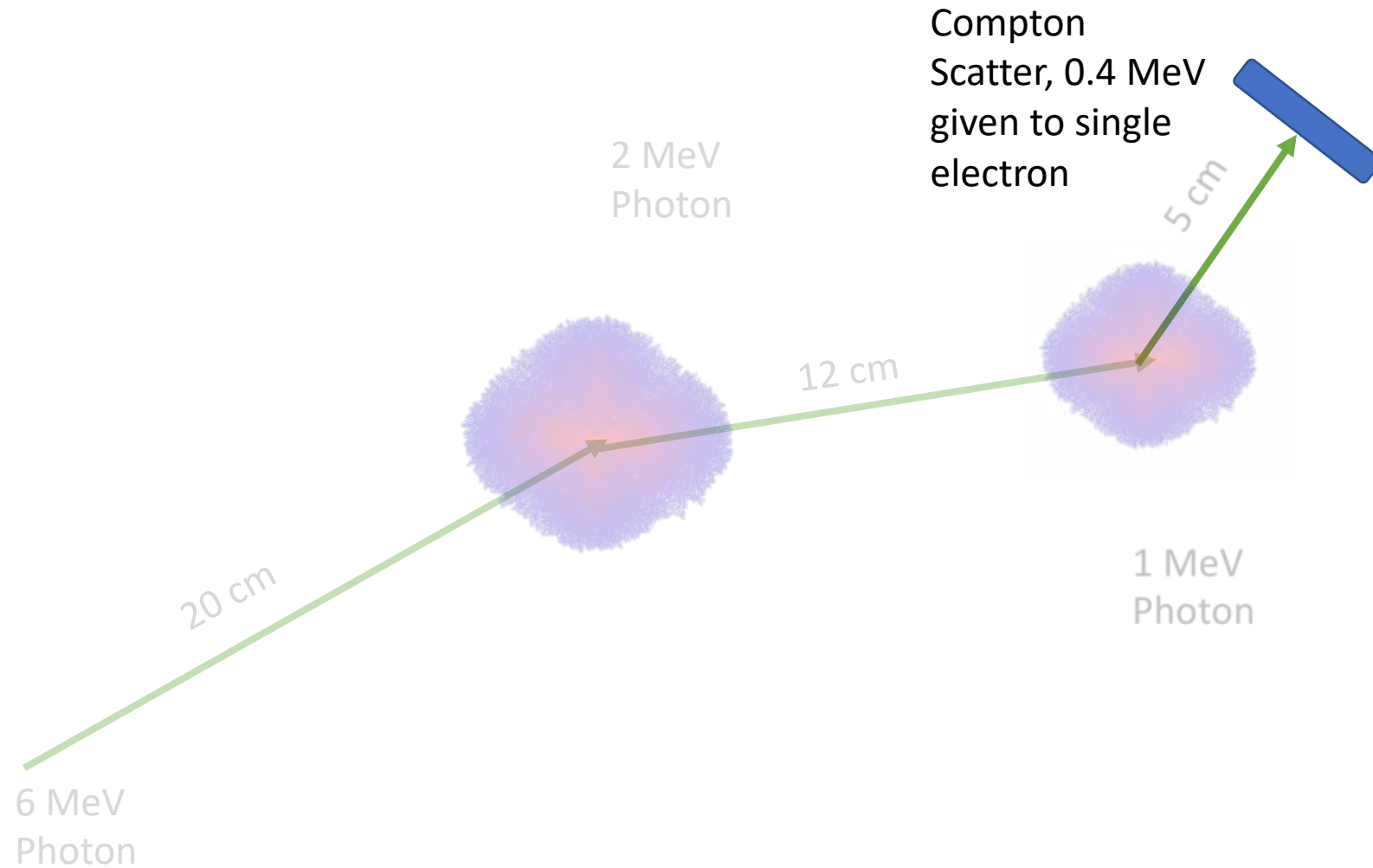
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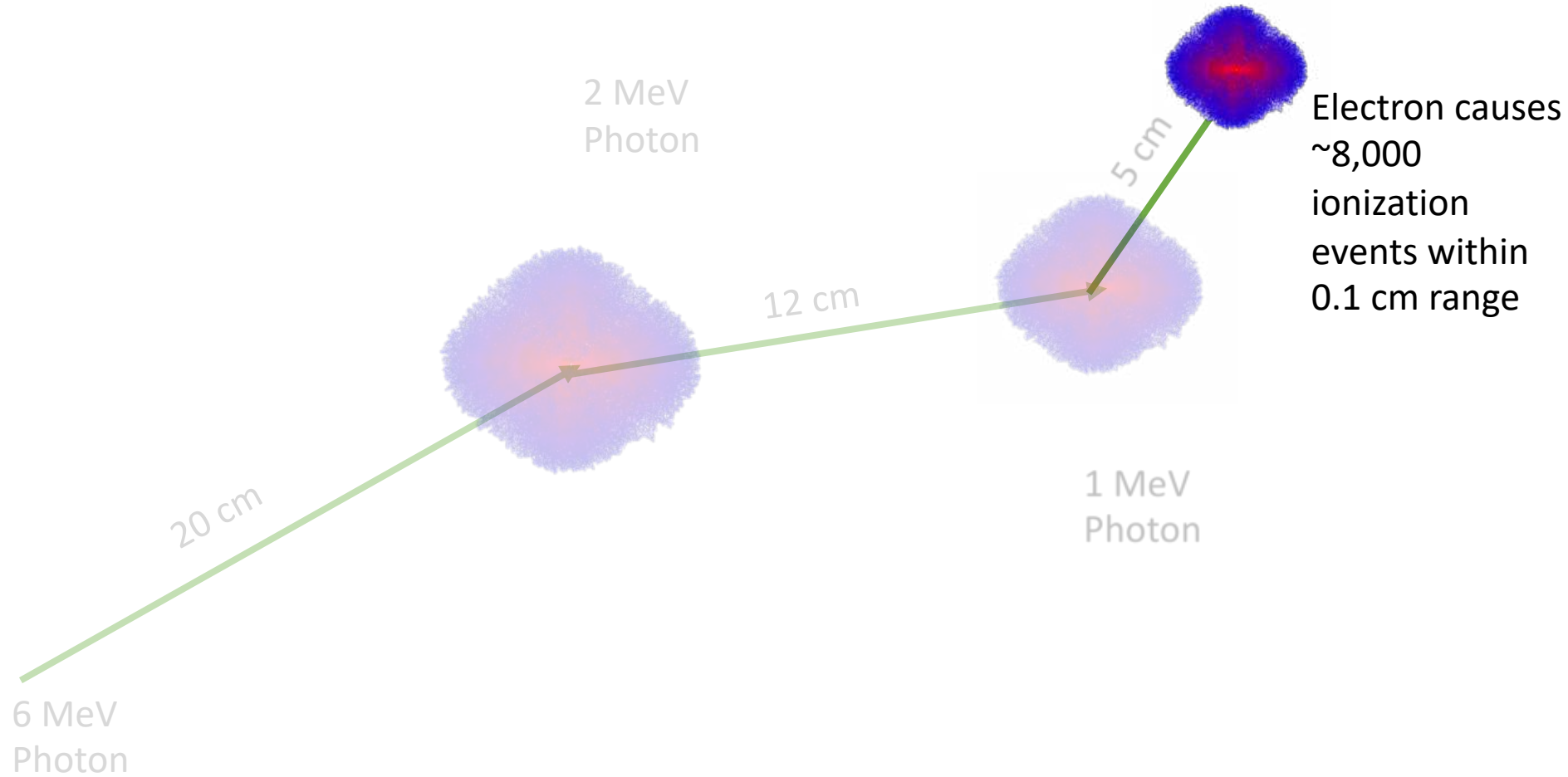
Example Pathway



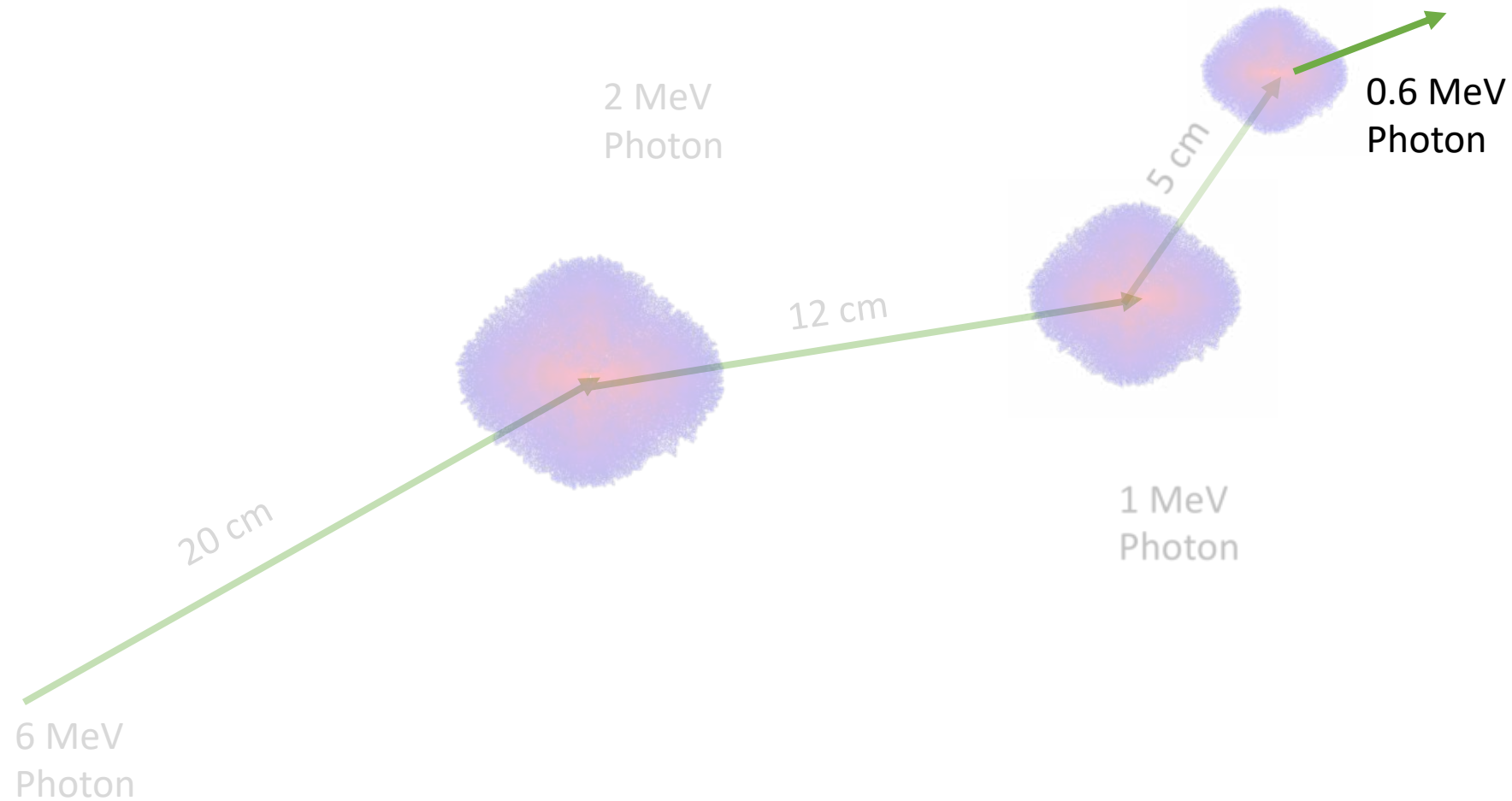
Example Pathway



Example Pathway

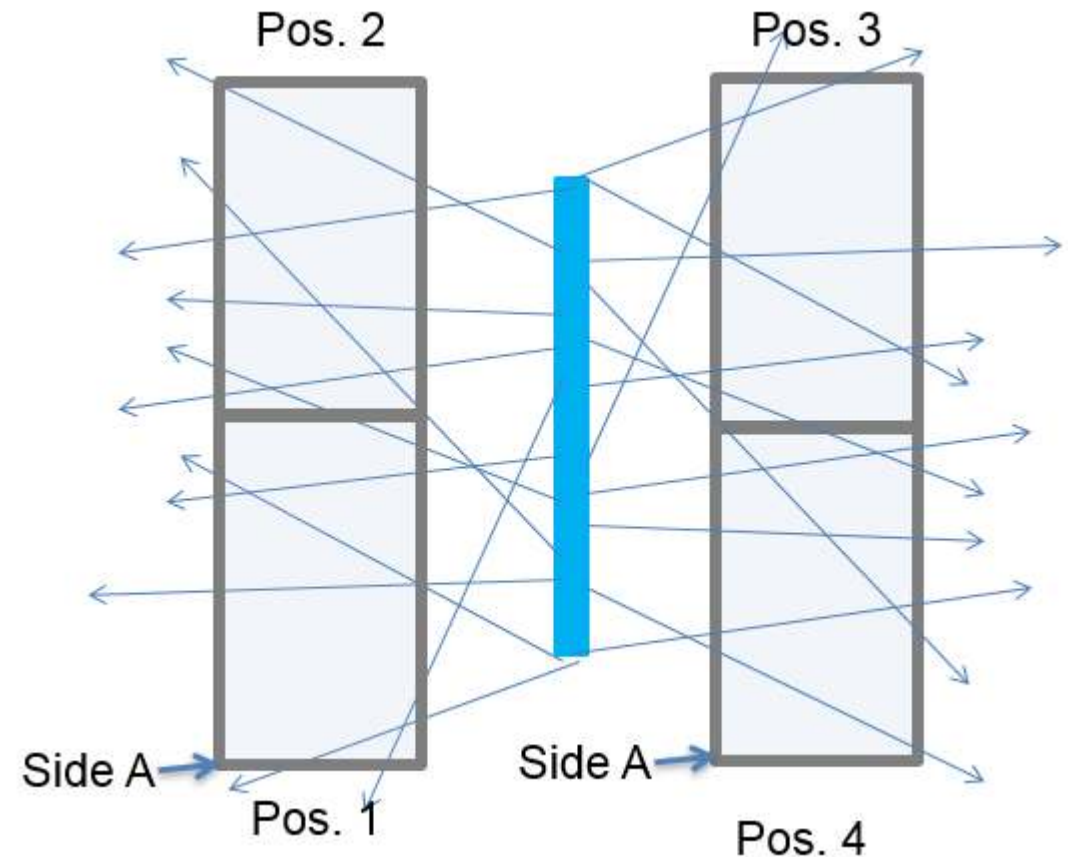
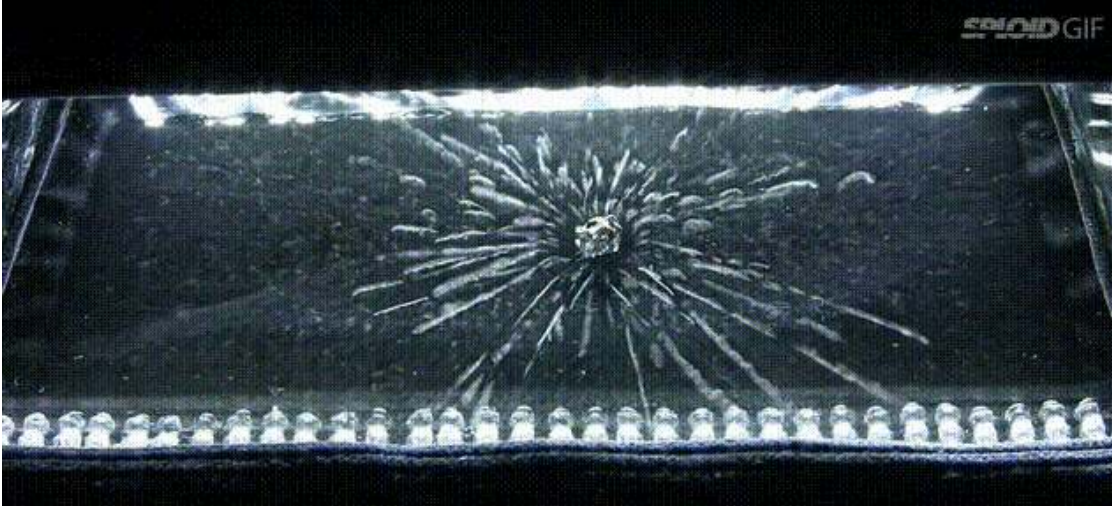


Example Pathway



Accumulation of Dose

- For both photon sources, in the range of **quadrillions** of these photons generated **every second**
- Each photon has probability of interaction with product



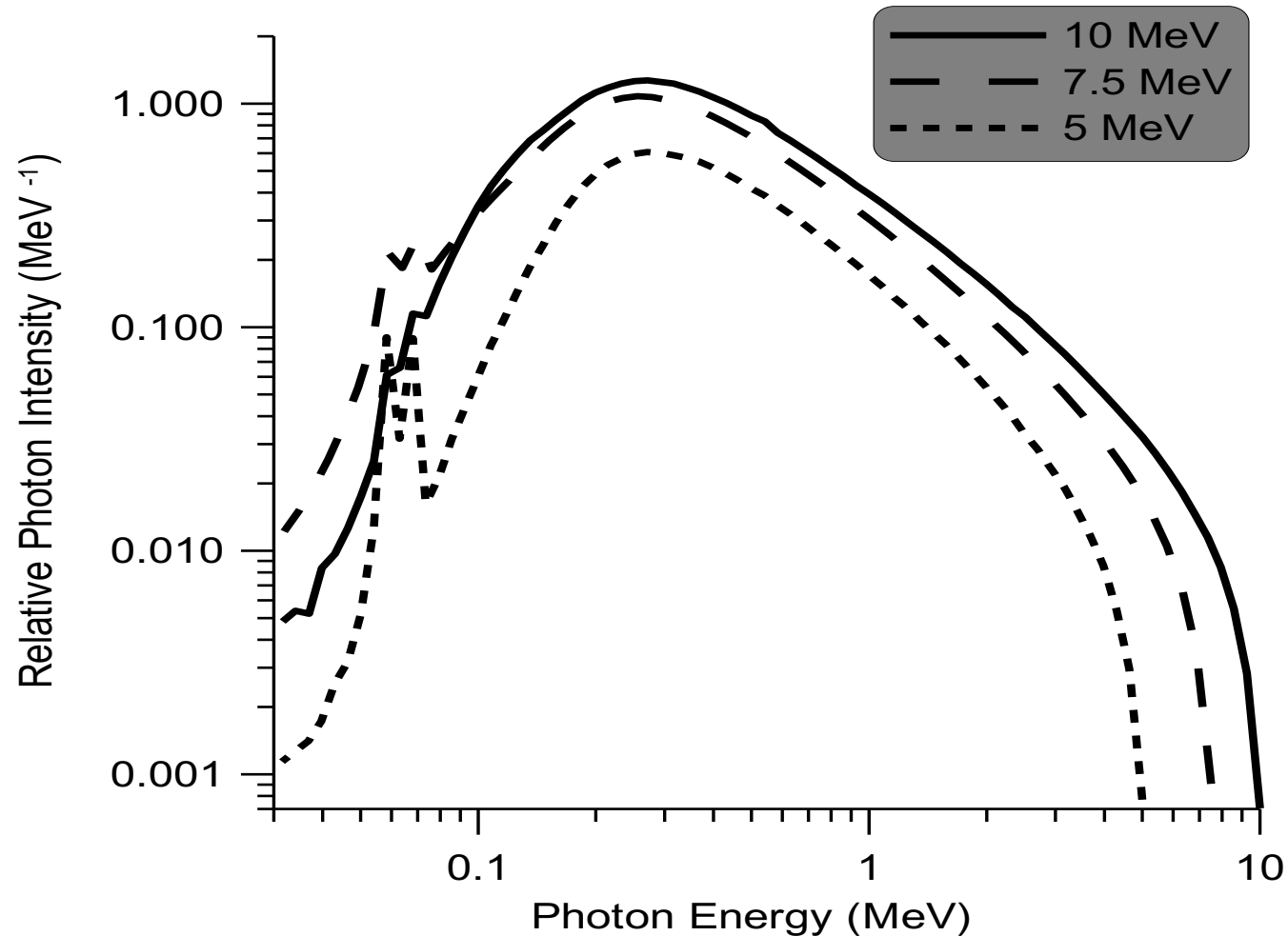
Approximate Values

	<u>Gamma</u>		<u>Xray</u>
Radiation Type	Photon		Photon
Source of Radiation	Within Nucleus		Outside Nucleus
Energy per Photon	1.25 MeV		0 - 7 MeV
Average Range per Photon	~20 cm		~40 cm
Primary Interaction	Compton Scattering		Compton Scattering
Direct Ionizations per Photon	~10		~20
Indirect Ionizations per Photon	~25,000		~125,000
Average Range per Secondary Electron	~0.1 cm		~1 cm
Total Ionizations per Photon	~25,000		~125,000

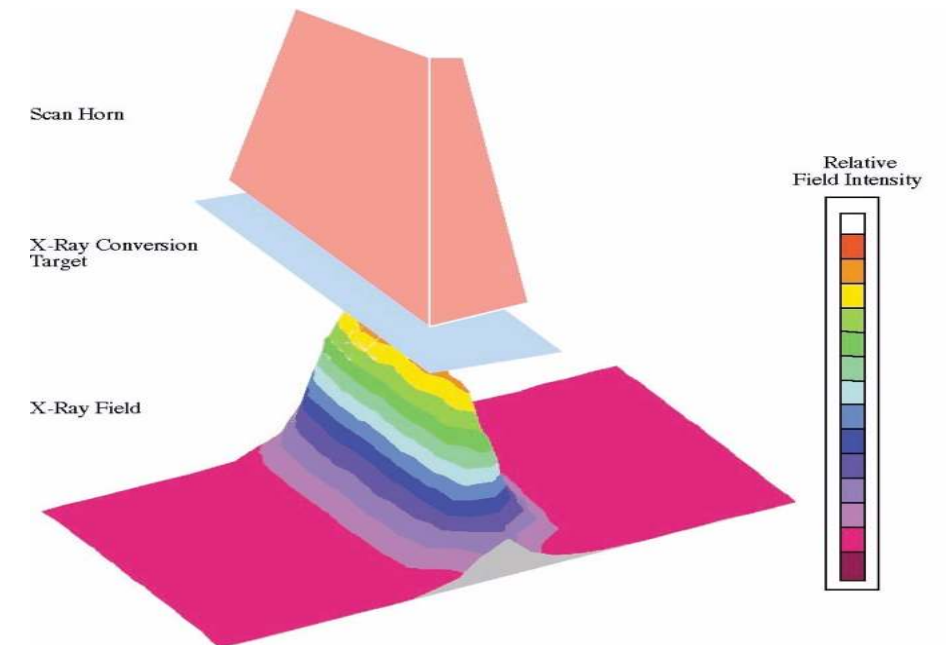
- Final doses delivered controlled by time/total photons
- Cumulative ionizations confirmed via dosimetry
- More gamma rays generally needed for equivalent dose

~5 gamma rays same as 1 x-ray

X-RAY PHOTON ENERGY DISTRIBUTION



- Photons of varying energy (up to the maximum for the system) are generated



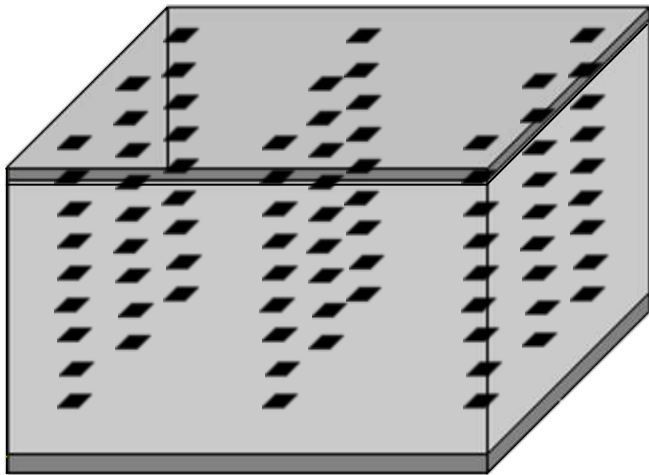
Risk Assessment Approach

Regulatory Approach: Risk Assessment

- Focus on a risk assessment between specification requirements for gamma and X-ray radiation
 - Assess cross linking requirements for materials
- The X-ray risk assessment can be based on the potential differences between the process conditions which are as follows:
 - Dose distribution
 - Example - Confirm routine dose requirements can be achieved robustly and within established ranges for the existing (gamma) process
 - Activation of materials
 - Example - Perform activation to confirm below regulatory threshold
 - Temperature profile
 - Example - Confirm less extreme than existing (gamma) process
 - Dose rate
 - Example - Confirm dose rates will be higher and thus lower material impact than existing (gamma) process
- Should any of the above assumptions be proven false, an assessment needs to be performed to determine what testing is required

Dose Distribution

- Improved results expected due to subset of higher energy X-rays
- Dose mapping always required for each



Uniform Symmetric Map Grid
Can be a Mini Grid/Reduced Grid based on OQ

Full Volume Design Capacity

Actual / Surrogate Product

Activation Studies

- All radiation has potential to create radioactive materials – regulatory requirement to assess risk
- Products are typically assessed as part of contractor procedure
 - Testing likely to be reduced/eliminated once more data is generated
- All materials need to be under IAEA limits for induced radioactivity per ‘Safety Guide No. RS-G-1.7’ published 2004

7. Acceptance criteria

- The target radiation dose is met as indicated by the dosimetry results.
- Activation acceptance is defined as compliance with the appropriate international limits e.g. Swiss limits for “consumer goods” (LE/100, Radiological Protection Ordinance, Annex 3) with activity concentrations and detection limits referred to the start of the measurement.

Nuclid	Activity [Bq]	
	Max. measured Activity	Max Activity
CR-51	13.3	100000
Co-60	5.6	10000
Mo-99	2.0	10000
W-187	535	10000
Pt-191	2.1	10000

Temperature

- Similar facilities in similar climate tracked temperature during a year

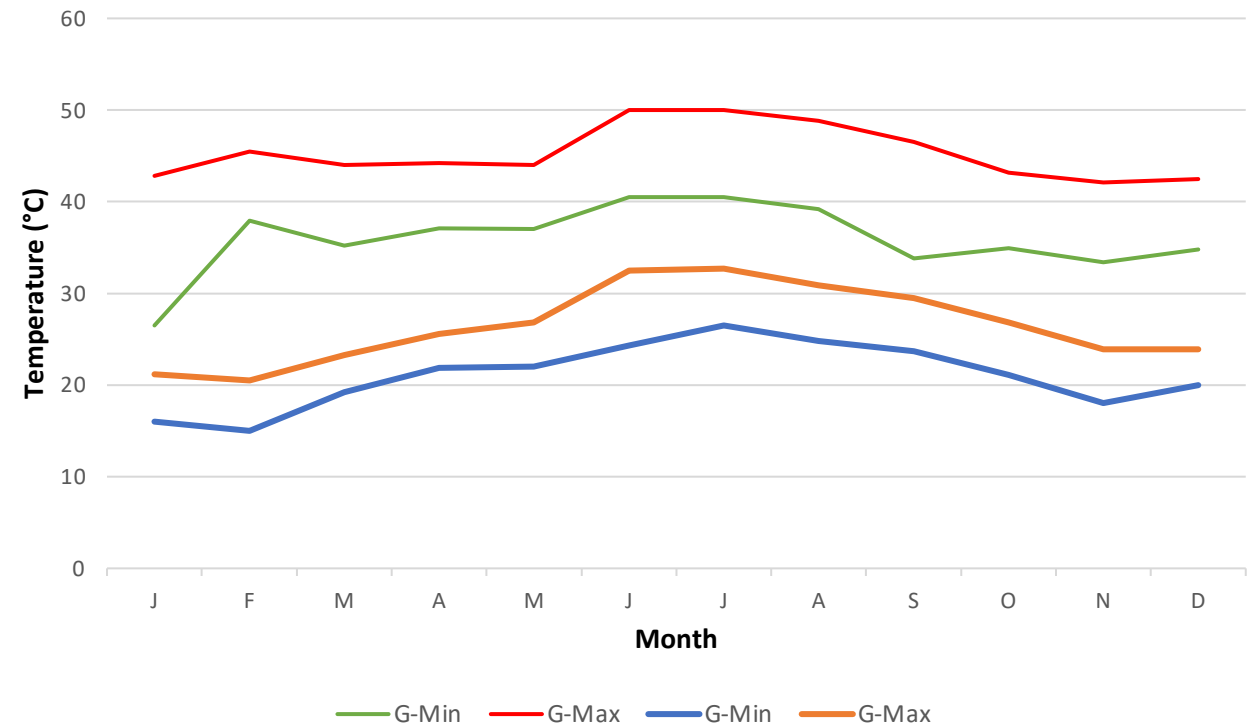
Gamma Irradiation Temperature

Month	Min	Max
1/1	26.5	42.8
2/1	37.9	45.5
3/1	35.2	44
4/1	37.1	44.2
5/1	37	44
6/1	40.5	50
7/1	40.5	50
8/1	39.2	48.8
9/1	33.8	46.5
10/1	34.9	43.2
11/1	33.4	42.1
12/1	34.8	42.5

X-Ray Irradiation Temperature

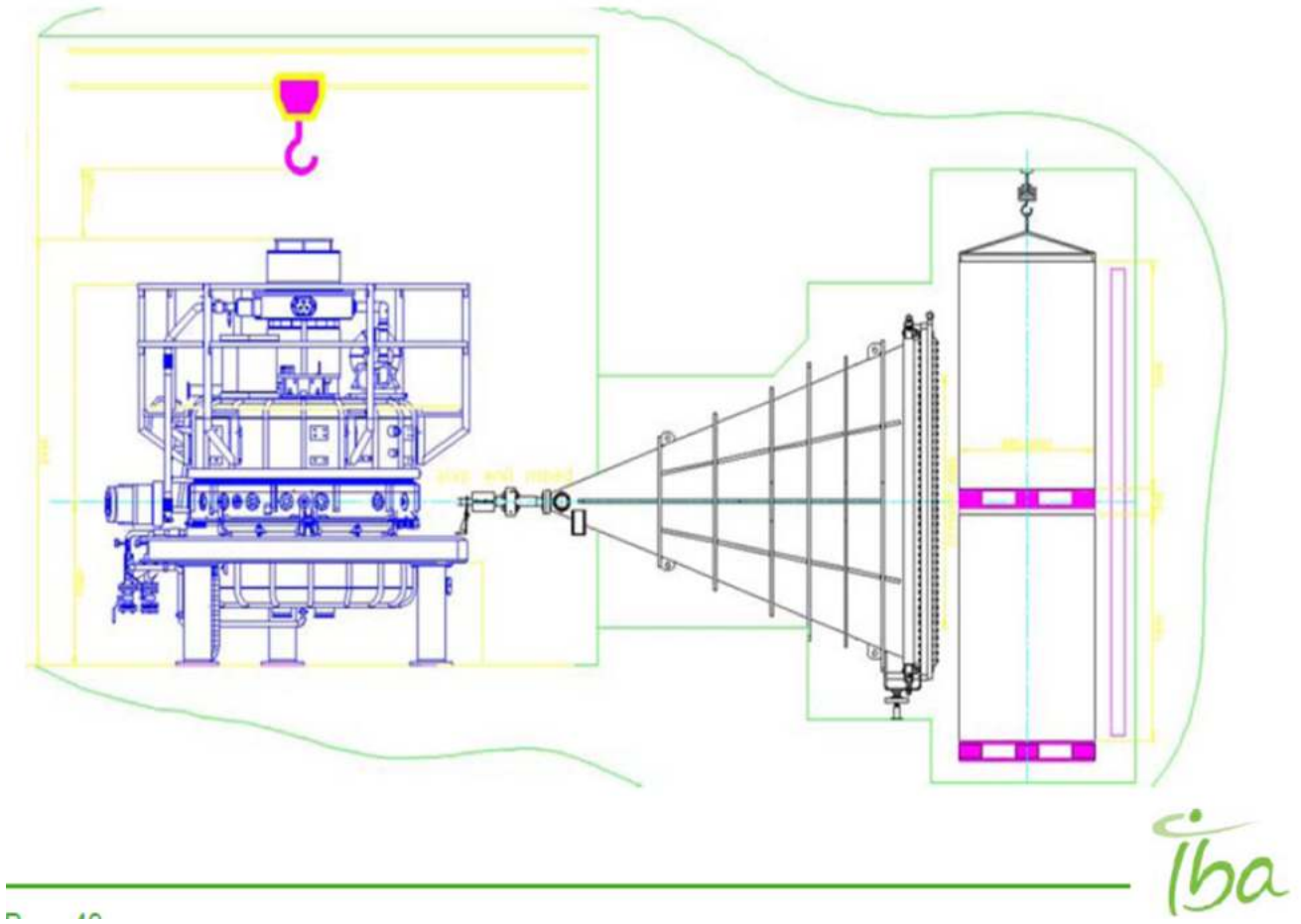
Month	Min	Max
J	16	21.2
F	15	20.5
M	19.2	23.3
A	21.9	25.6
M	22	26.8
J	24.3	32.5
J	26.5	32.7
A	24.8	30.9
S	23.7	29.5
O	21.1	26.8
N	18	23.9
D	20	23.9

Gamma and X-Ray Irradiation Temperatures



Dose Rate

- Dose rate is dependent on source (cobalt activity or power) and product conveyance
- Initial review shows roughly 3-4x greater average dose rate via X-Ray
- X-ray dose accumulation is only in front of the scan horn



Recommendations for Transfer to X-ray

- Focus on a risk assessment
 - This can add flexibility to the regulatory process and future supply chain
 - Minimum cost and timing during the development process for speed to market
- Perform assessment at a sterilization facility
 - Dose distribution
 - Activation
 - Minimum dose establishment
 - Maximum dose establishment
- Implement regulatory submission strategy based around risk approach, and not specific to an individual sterilization facility or sterilization modality (Gamma and X-Ray)

Questions?



Introduction to the Physics of Radiation Sterilization: Can X-ray replace Gamma?

Thomas Kroc
Fermilab



X-Ray Radiation: A pathway to transfer from gamma

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Panel Discussion



James Hathcock
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Samuel Dorey
BPSA X-ray task group
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